



KING COUNTY

1200 King County Courthouse
516 Third Avenue
Seattle, WA 98104

Signature Report

February 1, 2005

Motion 12071

Proposed No. 2005-0026.1

Sponsors Patterson

1 A MOTION related to King County council's adoption of
2 the 2005 work program for the King County auditor's
3 office.

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 WHEREAS, K.C.C. 2.20.045 states that the council shall review and approve
7 annually by motion a work program prepared by the auditor for the county auditor's
8 office, and

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 WHEREAS, the work program shall include the audits, special studies and any
 other oversight projects to be conducted and managed by the county auditor's office, and

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 WHEREAS, the mission of the county auditor's office is to conduct audit and studies
 that identify and recommend ways to improve accountability, performance, and efficiency of
13 county government, and

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 WHEREAS, the 2005 proposed auditor's work program has been developed and
 is attached to this motion;

16 NOW, THEREFORE, BE IT MOVED by the Council of King County:

17 The attached 2005 county auditor's work program is hereby adopted.

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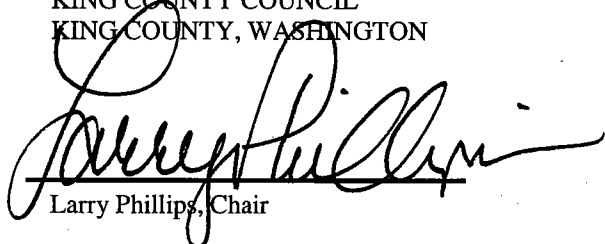
Motion 12071 was introduced on 1/18/2005 and passed by the Metropolitan King County Council on 1/31/2005, by the following vote:

Yes: 10 - Mr. Phillips, Ms. Edmonds, Ms. Lambert, Mr. Pelz, Mr. Ferguson,
Mr. Hammond, Mr. Gossett, Mr. Irons, Ms. Patterson and Mr. Constantine

No: 0

Excused: 2 - Mr. von Reichbauer and Ms. Hague

KING COUNTY COUNCIL
KING COUNTY, WASHINGTON



Larry Phillips, Chair

ATTEST:



Anne Noris, Clerk of the Council

Attachments A. 2005 Auditor's Office Work Program

Attachment A
2005 AUDITOR'S OFFICE WORK PROGRAM
12071

Project	Tentative Scope Summary
AUDITS/REVIEWS	
King County Sheriff's Office Phase II Performance Audit	Review the development of the Sheriff's Office Operational Master Plan; monitor implementation of recommendations from the Phase I Performance Audit on management of patrol staffing including overtime, and analyze the methods used to allocate and recover costs for internal county contracts. This phase may also include an evaluation of financial management practices for federal grants.
Safety and Workers' Compensation Performance Audit	Assess the efficiency and effectiveness of the county's safety and workers' compensation program including efforts to minimize claims by promoting workplace safety and loss prevention, efficiency of claims administration, and effectiveness of return-to-work program.
Metro Transit Capital Planning and Management Performance Audit ¹	Evaluate Metro Transit (Transit Division of the Department of Transportation) capital planning and management practices. Included in this audit will be a review of capital project prioritization, facility capital planning, and asset management as well as an evaluation of Metro Transit's use of performance measures and economic analysis.
Brightwater Phase I - Procurement Practices Performance Audit	Review the efficiency and reasonableness of the county's procurement practices and procedures for the Brightwater wastewater treatment capital project, focusing on two prime contracts and related contract amendments valued at several million dollars.
Brightwater Phase II - Contract Management Practices Performance Audit	This phase may include conducting a performance audit of the efficiency and cost effectiveness of the county's Brightwater contract management practices, including the policies and processes for change orders and contract amendments.
Human Services Contracts Performance Audit	Conduct a performance audit of the Department of Community and Human Services (DCHS) management of human services contracts. Identify best practices in performance-based contracting. Review DCHS' approach to identifying and monitoring contract outcomes as well as the relationship of contract outcomes to DCHS performance measures and the county's human services goals.
*Roads Concurrency Study	This study will review the methodology adopted by the 2004 Comprehensive Plan for assessing road adequacy for concurrency certificates. This includes evaluating the model used to analyze volume and capacity and the approach for assessing travel time impacts. The study may assess the impacts of changes made to the level of services in the urban area and rural towns.
Department of Assessments New Construction Performance Audit	Evaluate the efficiency and effectiveness, including timeliness, of the assessment of new building construction. This audit may focus on staffing, workload, and methodology and practices for new construction assessments.
Prosecuting Attorney's Office Civil Division Performance Audit Survey	Conduct an audit survey of the internal controls and any benchmarks and best practices associated with managing workload and staffing in the Civil Division of the Prosecuting Attorney's Office.

¹ Replaces audit of Fleet Management & Procurement Practices.

Attachment A
2005 AUDITOR'S OFFICE WORK PROGRAM
12071

Project	Tentative Scope Summary
FOLLOW-UP ON IMPLEMENTING RECOMMENDATIONS	
Follow-Up: Roads Services Division Capital Planning Performance Audit	Review the status and results of implementing recommendations presented in this audit (Report #2004-03). The focus will be on the division's progress in developing consistent methods for program planning, economic analysis, and reporting; strengthening analysis and documentation of proposed capital projects; and providing information that can be used by decision makers and the public to assess CIP performance.
Follow-Up: Workers' Compensation Program Financial Audit	Confirm the status and results of implementing recommendations presented in this audit (Report #2004-05) with a focus on plans to reduce fund deficits and estimate future liabilities.
Follow-Up: City/County Records Storage Operations Partnering Opportunities Special Study	Assess the status and results of implementing recommendations presented in this study (Report #2004-02) including providing an update on any operational improvements made by the Records Division.
OTHER OVERSIGHT PROJECTS	
Accountable Business Transformation (ABT) Oversight	Oversight monitoring of next phase of developing a countywide integrated financial and human resource system. This will include ongoing review and analysis of the executive's recommendations to implement a new, integrated system for the county.
Countywide Performance Measurement Program	Establish expanded performance measurement work group and coordinate its work. This will include development of a work plan to address council direction to make this effort truly countywide.
Jail Operational Master Plan & Integrated Security Projects and Jail Health Services Strategic Plan Oversight	Participate in oversight monitoring of implementation of jail operational master plan (OMP) and integrated security capital project (ISP). As a part of this effort, the Auditor's Office will track the progress of the Jail Health Services/Department of Public Health strategic plan development and implementation.
*Department of Development and Environmental Services Monitoring	Review department's quarterly performance reports including results of customer surveys and implementation of audit recommendations.
Review of State Auditor's Report	Pending the receipt of the State Auditor's Office's 2004 accountability audit report and report on financial statements, the auditor will advise the council of any significant findings and recommendations and the county's response to them.
Judicial Administration Consolidation Study Oversight	Review the approach and process for analyzing the potential for consolidating the county's court administrative functions, and review the resulting report and plan.

Note: Excludes current projects to be completed early 2005 (Financial Systems Replacement Project Oversight and State Auditor's 2003 Report). Some projects will be started in 2005 but completed in 2006.

Attachment A
2005 AUDITOR'S OFFICE WORK PROGRAM
12071

*2005 budget proviso